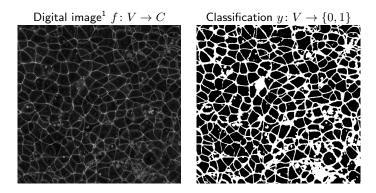
# Computer Vision I

# Bjoern Andres, Holger Heidrich

 $\begin{array}{c} \text{Machine Learning for Computer Vision} \\ \text{TU Dresden} \end{array}$ 



Winter Term 2022/2023



<sup>&</sup>lt;sup>1</sup>By courtesy of Stephan Grill and his lab at the MPI of Molecular Cell Biology and Genetics.

Suppose we can construct a function  $c\colon V\to \mathbb{R}$  wrt. a ditial image  $f\colon V\to C$  in such a way that for any pixel  $v\in V$ :

- $ightharpoonup c_v < 0$  if we consider  $y_v = 1$  to be the right decision
- $c_v > 0$  if we consider  $y_v = 0$  to be the right decision.

**Definition 1.** For any set V of pixels and any function  $c\colon V\to \mathbb{R}$ , the instance of the **trivial pixel classification problem** wrt. c has the form

$$\min_{y \in \{0,1\}^V} \sum_{v \in V} c_v \, y_v \tag{1}$$

In case the decision  $y_v$  for a pixel v depends on the color f(v) of that pixel only, we can in principle

- ightharpoonup construct a function  $\xi\colon C\to\mathbb{R}$
- define  $c_v = \xi(f(v))$  for any  $v \in V$ .

In practice, this task is supported by carefully designed GUIs.

In case the decision  $y_v$  for a pixel v depends on the colors of all pixels in a neighborhood  $N(v)\subseteq V$  around v, we can in principle

- ▶ construct, for any pixel v, a function  $\xi_v : C^{N(v)} \to \mathbb{R}$  that assigns a real number  $\xi_v(f')$  to any coloring  $f' : N(v) \to C$  of the neighborhood N(v) of v
- define  $c_v = \xi(f_{N(v)})$  for any  $v \in V$ .

In practice, this task is typically addressed by **machine learning**.

- In practice, solutions to the trivial pixel classification problem can be improved by exploiting prior knowledge about feasible combinations of decisions.
- Next, we consider prior knowledge saying that decisions at neighboring pixels  $v, w \in V$  are more likely to be equal  $(y_v = v_w)$  than unequal  $(y_v \neq y_w)$ .

**Definition 2.** For any pixel grid graph (V,E), any  $c\colon V\to\mathbb{R}$  and any  $c'\colon E\to\mathbb{R}^+_0$ , the instance of the **smooth pixel classification problem** wrt. c and c' has the form

$$\min_{y \in \{0,1\}^V} \sum_{v \in V} c_v y_v + \sum_{\{v,w\} \in E} c'_{\{v,w\}} |y_v - y_w|$$

$$\varphi(y)$$
(2)

A naïve algorithm for this problem is local search with a transformation  $T_v\colon\{0,1\}^V\to\{0,1\}^V$  that changes the decision for a single pixel, i.e., for any  $y\colon V\to\{0,1\}$  and any  $v,w\in V$ :

$$T_v(y)(w) = \begin{cases} 1 - y_w & \text{if } w = v \\ y_w & \text{otherwise} \end{cases}$$
.

```
\begin{split} \text{Initially, } y \colon V &\to \{0,1\} \text{ and } W = V \\ \text{while } W \neq \emptyset \\ W' &:= \emptyset \\ \text{for each } v \in W \\ \text{if } \varphi(T_v(y)) - \varphi(y) < 0 \\ y &:= T_v(y) \\ W' &:= W' \cup \{w \in V \,|\, \{v,w\} \in E\} \\ W &:= W' \end{split}
```

- So far, we have studied a local search algorithm for the smooth pixel classification problem.
- ▶ On the one hand, this algorithm is easy to implement and has straight-forward generalizations, e.g., to the case of more than two classes.
- On the other hand, it does not necessarily solve smooth pixel classification with two classes to optimality.
- Next, we will reduce the smooth pixel classification problem with two classes to the well-known minimum st-cut problem that can be solved exactly and efficiently.
- ► The notes are organized as follows
  - ▶ Definition of the minimum *st*-cut problem
  - Submodularity
  - ► Reduction of the smooth pixel classification problem

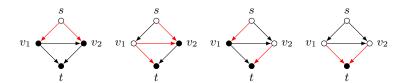
**Definition 3.** A 5-tuple  $N=(V,E,s,t,\gamma)$  is called a **network** iff (V,E) is a directed graph and  $s\in V$  and  $t\in V$  and  $s\neq t$  and  $\gamma:E\to\mathbb{R}^+_0$ .

The nodes s and t are called the **source** and the **sink** of N, respectively.

For any edge  $e \in E$ ,  $\gamma_e$  is called the **capacity** of e in N.

**Definition 4.** Let (V, E) be a directed graph. Let  $s \in V$  and  $t \in V$  and  $s \neq t$ .

- ▶  $X \subseteq V$  is called an st-cutset of (V, E) iff  $s \in X$  and  $t \notin X$ .
- ▶  $Y \subseteq E$  is called an st-cut of (V, E) iff there exists an st-cutset X such that  $Y = \{vw \in E \mid v \in X \land w \notin X\}$ .



# **Definition 5.** The instance of the **minimum** st-cut problem wrt. a network $N=(V,E,s,t,\gamma)$ is to

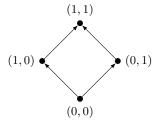
$$\min_{x \in \{0,1\}^V} \quad \sum_{vw \in E} x_v (1 - x_w) \gamma_{vw} \tag{3}$$

subject to 
$$x_s = 1$$
 (4)

$$x_t = 0 (5)$$

**Definition 6.** A **lattice**  $(S, \preceq)$  is a set S, equipped with a partial order  $\preceq$ , such that any two elements of S have an infimum and a supremum wrt.  $\preceq$ .

**Example.**  $(\{0,1\}^2, \preceq)$  with  $\preceq := \{(s,t) \in S \times S \mid s_1 \leq t_1 \land s_2 \leq t_2\}.$ 



For any 
$$s,t\in\{0,1\}^2$$
, 
$$\sup(s,t)=(\max\{s_1,t_1\},\max\{s_2,t_2\})$$
 
$$\inf(s,t)=(\min\{s_1,t_1\},\min\{s_2,t_2\})$$

**Definition 7.** A function  $f:S \to \mathbb{R}$  is called **submodular** wrt. a lattice  $(S, \preceq)$  iff

$$\forall s,t \in S \qquad f(\inf(s,t)) + f(\sup(s,t)) \le f(s) + f(t) \ . \tag{6}$$

**Lemma 1.** The sum of two submodular functions is submodular.

**Lemma 2.** For any  $f: \{0,1\}^2 \to \mathbb{R}$ , the following statements are equivalent.

- 1. f is is submodular wrt. the the lattice  $(\{0,1\}^2,\preceq)$
- 2.  $f(0,0) + f(1,1) \le f(1,0) + f(0,1)$
- 3. The unique form

$$c_{\emptyset} + c_{\{1\}}x_1 + c_{\{2\}}x_2 + c_{\{1,2\}}x_1x_2$$

of f is such that  $c_{\{1,2\}} \leq 0.$ 

#### Proof.

►  $f(0,0) + f(1,1) \le f(1,0) + f(0,1)$  is the only condition in

$$\forall s, t \in S$$
  $f(\inf(s,t)) + f(\sup(s,t)) \le f(s) + f(t)$ 

which is not generally true. Thus, (1.) is equivalent to (2.).

► We have

$$\begin{split} f(0,0) &= c_{\emptyset} \\ f(1,0) &= c_{\emptyset} + c_{\{1\}} \\ f(0,1) &= c_{\emptyset} &+ c_{\{2\}} \\ f(1,1) &= c_{\emptyset} + c_{\{1\}} + c_{\{2\}} + c_{\{1,2\}} \ . \end{split}$$

Therefore,

$$c_{\{1,2\}} = f(1,1) - f(1,0) - f(0,1) + f(0,0)$$

and thus, (2.) is equivalent to (3.).

**Lemma 3.** For every  $f:\{0,1\}^2\to\mathbb{R}$ , there exist unique  $a_0\in\mathbb{R}$  and  $a_1,a_{\bar{1}},a_2,a_{\bar{2}},a_{12},a_{\bar{1}2}\in\mathbb{R}^+_0$  such that

$$a_1 a_{\bar{1}} = a_2 a_{\bar{2}} = a_{12} a_{\bar{1}2} = 0 \tag{7}$$

and

$$\forall x \in \{0,1\}^2 \quad f(x) = a_0$$

$$+ a_1 x_1 + a_{\bar{1}} (1 - x_1)$$

$$+ a_2 x_2 + a_{\bar{2}} (1 - x_2)$$

$$+ a_{12} x_1 x_2 + a_{\bar{1}2} (1 - x_1) x_2 . \tag{8}$$

## Proof.

► Comparison of (8) with the unique form

$$c_{\emptyset} + c_{\{1\}}x_1 + c_{\{2\}}x_2 + c_{\{1,2\}}x_1x_2$$

yields

$$a_{0} + a_{\bar{1}} + a_{\bar{2}} = c_{\emptyset}$$

$$a_{1} - a_{\bar{1}} = c_{\{1\}}$$

$$a_{2} - a_{\bar{2}} + a_{\bar{1}2} = c_{\{2\}}$$

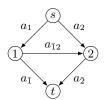
$$a_{12} - a_{\bar{1}2} = c_{\{1,2\}}$$
(9)

 $\blacktriangleright$  By these equations (from bottom to top), (7) and c define a uniquely.

**Lemma 4.** For every submodular  $f:\{0,1\}^2\to\mathbb{R}$  and its unique coefficient  $a_0\in\mathbb{R}$  from Lemma 3,

$$\min_{x \in \{0,1\}^2} f_x - a_0 \tag{10}$$

is equal to the weight of a  $minimum\ st$ -cut in the graph below whose edge weights are the (unique, non-negative) coefficients from Lemma 3.



Moreover, f is minimal at  $\hat{x} \in \{0,1\}^2$  iff  $\{j \in \{1,2\} \mid \hat{x}_j = 0\}$  is a **minimum** st-cutset of the above graph.

### Proof.

- ▶ Submodularity of f implies  $a_{12} = 0$  in (9), by Lemma 2 and (7).
- ightharpoonup Comparison of the four possible minima of f,

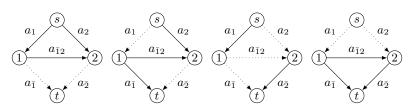
$$f(0,0) = a_0 + a_{\bar{1}} + a_{\bar{2}}$$

$$f(1,0) = a_0 + a_1 + a_{\bar{2}}$$

$$f(0,1) = a_0 + a_{\bar{1}} + a_2 + a_{\bar{1}2}$$

$$f(1,1) = a_0 + a_1 + a_2 + a_{12}$$

with the four possible minimum cuts below proves the Lemma.



## **Definition 8.** For any smooth pixel classification problem

$$\min_{y \in \{0,1\}^V} \quad \underbrace{\sum_{v \in V} c_v \, y_v + \sum_{\{v,w\} \in E} c'_{\{v,w\}} \, |y_v - y_w|}_{\varphi(y)} \tag{11}$$

the induced minimum st-cut problem is defined by the network  $(V', E', s, t, \gamma)$  such that  $V' = V \cup \{s, t\}$ ,

$$E' = \{(s, v) \in V'^{2} \mid c_{v} > 0\} \cup \{(v, t) \in V'^{2} \mid c_{v} < 0\}$$
$$\cup \{(v, w) \in V'^{2} \mid \{v, w\} \in E\}$$
(12)

and  $\gamma \colon E' \to \mathbb{R}_0^+$  such that

$$\forall (s, v) \in E' \colon \quad \gamma_{(s,v)} = c_v \tag{13}$$

$$\forall (v,t) \in E' : \quad \gamma_{(v,t)} = -c_v \tag{14}$$

$$\forall \{v, w\} \in E: \quad \gamma_{(v, w)} = \gamma_{(w, v)} = c'_{\{v, w\}} . \tag{15}$$

**Lemma 5.** For any smooth pixel classification problem wrt. a pixel grid graph G=(V,E) and the induced minimum st-cut problem with the network  $(V',E',s,t,\gamma),\ \hat{y}:V\to\{0,1\}$  is an optimal pixel classification iff  $\{v\in V\mid \hat{y}_v=0\}$  is an optimal st-cutset.

**Proof (sketch).** The function  $\varphi$  is submodular, by Lemma 1 and c'>0.

The statement holds by Lemma 3 and the fact that for all  $y \in \{0,1\}^V$ :

$$\varphi(y) = \sum_{v \in V} c_v y_v + \sum_{\{v,w\} \in E} c'_{\{v,w\}} (y_v (1 - y_w) + (1 - y_v) y_w) .$$